

東海大學學生學位論文違反學術倫理或未符學系專業案件處理辦法

THU Regulations for Undergraduate Thesis Violations of Academic Ethics and Integrity or Failure to Meet Departmental Professional Standards

104 年 11 月 17 日教務會議通過

Approved by the Academic Affairs Meeting on November 17, 2015

106 年 11 月 21 日教務會議修正通過

Amended and approved by the Academic Affairs Meeting on November 21, 2017

108 年 11 月 12 日第 164 次教務會議修正通過第 1、3、10 條

Amended and approved by the 164th Academic Affairs Meeting on November 12, 2019 (Article 1, 3, 10)

110 年 4 月 27 日第 167 次教務會議修正通過第 3、4、5、7、9、10、11、12、13 條

Amended and approved by the 167th Academic Affairs Meeting on April 27, 2021 (Article 3, 4, 5, 7, 9, 10, 11, 12, 13)

第一條 東海大學（以下簡稱本校）為維護學術品質，防範學位論文違反學術倫理情事，特依據學位授予法第十七條規定，訂定本辦法。

Article 1 Tunghai University ("THU") have established these regulations in accordance with Article 17 of the Degree Conferral Act in order to maintain academic quality and prevent thesis violations of academic ethics and integrity.

第二條 本辦法所稱學位論文，係指本校依學位授予法所授予碩士、博士學位之論文。

Article 2 The term "thesis" herein refers to the thesis submitted by THU students in fulfillment of the requirements for master's and doctoral degrees in accordance with the Degree Conferral Act

第三條 本辦法所稱違反學術倫理或未符系、所或學位學程（以下簡稱學系）專業，指有下列情形之一者：

- 一、學位論文、作品、成就證明、書面報告、技術報告或專業實務報告有造假、變造、抄襲等情事。
- 二、由他人代寫。
- 三、未經註明而重複出版公開發行。
- 四、大幅引用自己已發表之著作，未適當引註。
- 五、以翻譯代替論著，並未適當註明。
- 六、不符學系專業領域。
- 七、其他違反學術倫理或未符學系專業之行為。

Article 3 The term "violation of academic ethics and integrity" or "failure to meet the professional standards of departments, programs, or degree programs (collectively referred to as "departments")" refers to any of the following situations:

1. Any instances of forgery, falsification, or plagiarism in any thesis, works, evidence of achievements, reports, technical reports, or professional reports.
2. Any instances of ghostwriting.

3. Any instances of repeat publications without proper disclosures.
4. Significant reuse of one's previously published works without proper citation or referencing.
5. If a translation is used as a substitute for an original scholarly work without proper attribution.
6. If the thesis or work does not align with the specialized field of the department.
7. Other actions that violate academic ethics and integrity or fail to meet the professional standards of the department.

第四條 檢舉人應具真實姓名及聯絡方式，並具體指陳檢舉對象、內容及檢附證據資料，送交被檢舉人所屬學系受理，檢舉人之身分應嚴予保密。

前項檢舉案件，檢舉人未具名惟具體指陳檢舉對象、違反內容且充分舉證者，得送交被檢舉人所屬學系受理。

Article 4 Persons submitting relevant complaints should provide their real name and contact information, along with specific details about the subject of the complaint, the content of the complaint, and relevant supporting evidence. This information should be submitted to the department to which the accused individual belongs for handling. The identity of the person initiating the complaint should be kept strictly confidential.

In cases where the person initiating the complaint chooses not to disclose their identity but provides specific details about the subject of the complaint, the violations, and substantial evidence, the complaint may still be submitted to the department to which the accused individual belongs for handling.

第五條 為進行調查、審議及認定本校學生之學位論文有無違反學術倫理或**不符學系專業情事**，應由相關學系組成調查小組，學院應組成審定委員會。

Article 5 The relevant departments shall form investigation teams, and the colleges shall establish review committees to conduct investigations, reviews, and determinations regarding whether a student's thesis at THU violates academic ethics or fails to meet departmental standards.

第六條 為維護審定客觀與公平，與被檢舉人現有或曾有論文指導師生關係、口試委員、三等親內之血親或姻親、學術合作關係或其他利害關係人應予迴避，不得擔任審定委員會委員。

Article 6 To maintain objectivity and fairness in the review process, the thesis advisor, individuals who, served as oral examination committee members, relatives of third-degree blood or marital ties with the accused, academic collaborators, or any persons with other conflicts of interest shall be required to recuse themselves and are ineligible to serve as members of the review committee.

第七條

學系調查程序如下：

- 一、被檢舉人所屬學系應於接獲檢舉案件後二十日內成立調查小組，調查小組由被檢舉人所屬學系主管擔任召集人，並推薦學系教師及校內外專家學者三至五人，簽請院長遴聘之。學系主管須迴避時，召集人由院長就所屬學院之教師指定一人擔任。其調查程序應以秘密方式為之，調查小組委員身分應予保密。
- 二、調查小組應於組成後一個月內完成調查，並提出調查報告提交所屬學院審定委員會審定；必要時，得延長一個月。

Article 7 The departmental investigation procedure is as follows:

1. Within 20 days of receiving the complaint, the department to which the accused belongs shall establish an investigation team. The investigation team will be led by the head of the department to which the accused belongs and will include three to five faculty members recommended by the department, as well as experts and scholars from within and outside the university, appointed by the dean. If the head of the department needs to recuse themselves, the convener will be designated by the dean from the faculty of the department. The investigation process shall be conducted in a confidential manner, and the identities of the investigation team members shall remain confidential.
2. The investigation team shall complete the investigation within one month from its formation and submit an investigation report to the college's review committee for review. If necessary, an extension of one month may be granted.

第八條

學院審定委員會審議程序如下：

- 一、學院審定委員會由被檢舉人所屬學院院長擔任召集人，並推薦院內教師及校內外專家學者五至七人組成，其中委員至少一位具有法律專業背景，簽請校長遴聘之。院長須迴避時，召集人由教務長擔任；院長及教務長均須迴避時，則由校長指定副校長一人擔任。其審定程序應以秘密方式為之，審定委員身分應予保密。
- 二、審定委員會開會時，應有三分之二以上委員出席，並以出席委員三分之二以上同意，方得決議。
- 三、審定委員會開會時，得以書面通知被檢舉人提出說明或到場陳述意見。未於通知期限內提出說明書或到場陳述意見者，視為放棄陳述之機會。必要時得邀請被檢舉人之指導教授列席說明。

Article 8 The college review committee procedure is as follows:

1. The college review committee is chaired by the dean of the college to which the accused belongs. The committee is composed of five to seven members, including faculty members from the college and experts and scholars from within and outside the university.

At least one member of the committee should have a legal background and to be appointed by the president. If the dean needs to recuse

themselves, the convener will be designated by the Dean of Academic Affairs. If both the college dean and the Dean of Academic Affairs need to recuse themselves, the president will appoint one of the vice presidents to serve as convener. The review process shall be conducted in a confidential manner, and the identities of the review committee members shall remain confidential.

2. For a meeting of the review committee to proceed, at least two-thirds of the committee members must be present, and decisions shall require the agreement of at least two-thirds of the attending committee members.
3. During review committee meetings, the accused may be notified in writing to provide explanations or make statements. Failure to submit an explanation within the stipulated time frame or appear in person to make a statement shall be considered a waiver of the opportunity to do so. If necessary, the thesis advisor of the accused may be invited to attend and provide explanations.

第九條

審定委員會於審定完竣後，應做成具體決議，其審定報告書及會議紀錄簽請校長核定後通知教務處及學系，並以書面通知檢舉人與被檢舉人審定結果。

檢舉案件經認定無違反學術倫理或未符學系專業情事者，檢舉人再次提出檢舉，除有具體新事實或新證據外，本校得依前次審議結果逕復檢舉人，不另行處理。

Article 9 After completing the review, the review committee shall make specific determinations. The review report and meeting minutes shall be submitted to the president for approval. Subsequently, the results of the review shall be communicated in writing to the Office of Academic Affairs, the department involved, and the complainant and the accused.

If a complaint is refiled after being previously determined as not violating academic ethics and integrity or failing to meet departmental standards, THU may choose not to process it further unless there are specific new facts or new evidence presented in the complaint. In such cases, THU may refer to the previous review outcome.

第十條

審定委員會審定確認被檢舉人學位論文違反學術倫理情節重大者，由教務處撤銷被檢舉人之學位、公告註銷並追繳已發之學位證書，並依本校學則規定勒令退學。另發函國家圖書館及本校圖書館撤下被檢舉人之論文紙本及電子檔案。

經審定未達前項程度，但仍有違反學術倫理情形者，審定委員會得限期要求被檢舉人修正或採取其他適當之處置。

Article 10 If the review committee confirms that the accused student's thesis violates academic ethics in a significant manner, the Office of Academic Affairs shall revoke the student's degree, publicly announce the revocation, and collect the previously issued academic certificate. Additionally, a letter will be sent to the National Central Library and the THU library to remove the accused student's paper-based and electronic thesis files.

If the violations do not reach the level mentioned above but still involve breaches of academic ethics, the review committee may impose a deadline for the accused student to make corrections or take other appropriate measures.

第十一條 學生學位論文被檢舉違反學術倫理或未符學系專業，經審定委員會審議確實時，其指導教授之課責規定依各系、所或學位學程規定辦理。

Article 11 When a student's thesis is reported for violating academic ethics and integrity or failing to meet departmental standards and is confirmed by the review committee, the responsibilities of the thesis advisor shall be determined in accordance with the regulations of the respective departments.

第十二條 本辦法如有未盡事宜，悉依教育部及本校相關規定辦理。

Article 12 Any matters not covered by the Regulations will be handled in accordance with relevant MOE regulations and THU policies.

第十三條 本辦法經教務會議通過後公告實施。

Article 13 The Regulations herein shall be promulgated upon approval by the Academic Affairs Meeting.